



#3

## FORMALITIES LETTER



\*OC000000005292106\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/575,562	05/21/2000	Paul Ferguson	A0312/7400/MXS

Randy J Pritzker  
Wolf Greenfield & Sack PC  
600 Atlantic Avenue  
Boston, MA 02210

Date Mailed: 08/03/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

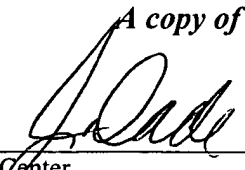
## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1044.
  - \$342 for 19 total claims over 20.
  - \$702 for 9 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1864.

---

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/21/2000 KZWDIE 00000005 232825 09575562  
01 FC:101 710.00 OP  
02 FC:105 130.00 OP  
03 FC:102 720.00 OP  
04 FC:103 304.00 OP  
38.00 CH



Inventor or Identifier: Paul Ferguson, et al.

Serial No: 09/575,562

Filed: May 21, 2000

For: Method and Apparatus for Use in Switched Capacitor Systems

CHECK BOX, if applicable:

☐ **DUPLICATE**

## Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	39-20=	19	\$18	= \$ 342.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	12-3=	9	\$78	= \$ 702.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$260	= \$
				BASIC FEE (37 CFR 1.16(a))	\$ 690.00
	Total of above Calculations =				\$1734.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$
	Assignment Recordation Fee (if any)				\$
	Other Fees (if any) extension fee.				\$ 130.00
	TOTAL =				\$1864.00

1. A check in the amount of \$1864.00 is enclosed.

## General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒1.16 ☒1.17 or ☒1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐1.16 ☐1.17 or ☐1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

Mark Steinberg, Reg. No. 40,829  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617) 720-3500  
Attorneys of Record

Docket No. A0312/7400  
Date: November 14, 2000



SECTOR ~~8~~

ATTORNEY'S DOCKET NO. A0312/7400/MXS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul Ferguson, et al.  
Serial No: 09/575,562  
Filed: May 21, 2000  
For: METHOD AND APPARATUS FOR USE IN  
SWITCHED CAPACITOR SYSTEMS  
Examiner: Unknown  
Art Unit: Unknown

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

Transmitted herewith is/are the following document(s):

- [X] Petition for Two Month Extension of Time along with check in the amount of \$390.00
- [X] Notice to File Missing Parts
- [X] Declaration for Patent Application
- [X] Fee Calculation Sheet
- [X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check in the amount of \$1,864.00 is enclosed to cover the filing fee. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Certificate of Mailing Under 37 C.F.R.

§1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Assistant Commissioner for Patents, Washington, DC 20231 on November 14, 2000.

*Lisa A. Fletcher*

Respectfully Submitted,

*Mark Steinberg*

Mark Steinberg, Reg. No. 40,829  
WOLF, GREENFIELD & SACKS, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617) 720-3500

Docket No. A0312/7400/MXS  
November 14, 2000